

of: DeWitt, Jr. et al.

Serial No.: 10/675,776

Filed: September 30, 2003

For: Method and Apparatus for **Counting Execution of Specific Instructions and Accesses to Specific**

Data Locations

35525 PATENT TRADEMARK OFFICE CUSTOMER NUMBER

Group Art Unit: 2122

Examiner: Unknown

Attorney Docket No.: AUS920030481US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

TRANSMITTAL DOCUMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Reference AG; and
- Our return postcard

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Gerald H. Glanzman

Registration No. 25,035

Duke W. Yee

Registration No. 34,285

YEE & ASSOCIATES, P.C.

P.O. Box 802333

Dallas, Texas 75380

(972) 385-8777

ATTORNEYS FOR APPLICANTS



N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DeWitt, Jr. et al.

Serial No: 10/675,776

Filed: September 30, 2003

Title: Method and Apparatus for Counting Execution of Specific Instructions and Accesses to Specific Data Locations

Group Art Unit: 2191

Intellectual Property Law Department International Business Machines Corporation 11400 Burnet Road Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

Gerald H. Glanzman Registration No. 25,035

Yee & Associates, P.C.

P.O. Box 802333

Dallas, Texas 75380 (

Telephone: (972) 385-8777

PTO/SB/(08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

Substitute to to Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. form 1449/PTO

JUN 2 3 2006 CORMATION DISCLOSURE BY APPLICANT

	Complete if Known
Application Number	10/675,776
Filing Date	09/30/2003
First Named Inventor	DeWitt, Jr. et al.
Examiner Name	Unknown
Art Unit	2191
Attorney Docket No.	AUS920030481US1

	Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Γ	AA	6,149,318	11-21-2000	Chase et al.	
Γ	AB	6,185,671 B1	02-06-2001	Pentovski et al.	
Г	AC	6,247,113 B1	06-12-2001	Jaggar	
$\cdot \lceil$	AD	6,460,135 B1	10-01-2002	Suganuma	
	AE	2003/0126590 A1	07-03-2003	Burrows et al.	
.L	AF	6,842,850 B2	01-11-2005	Ganapathy et al.	
L					
L					

FOREIGN PATENT DOCUMENTS					
Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
AG	MERTEN et al., "A Hardware Driven Profiling Scheme for Identifying Program Hot Spots to Support Runtime Optimization", IEEE, 1999, pp. 136-147.			

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.